

# INTANGIBLE ASPECTS OF TRUSTING AUTONOMOUS SYSTEMS



**TNO** innovation  
for life

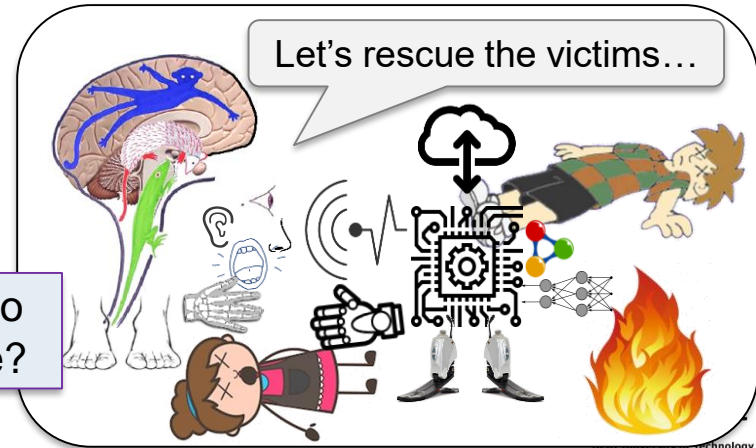
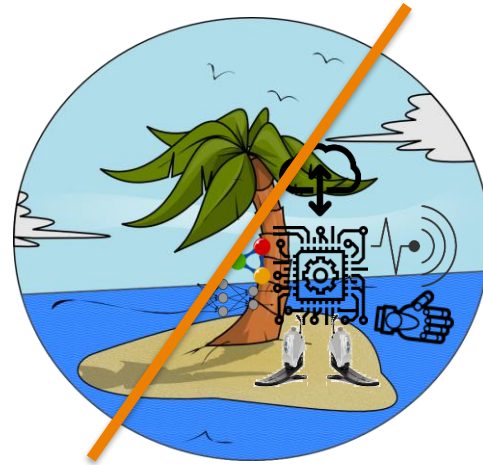
Mark Neerincx

**TU Delft**  
Delft University of Technology

# INTRODUCTION

- › No Autonomous System is an Island.
  - › i.e, there is intelligence, interaction (incl. sensing & acting) and some kind of embodiment
  - › let's call it robot, which -physically or virtually- embodies some kind of Artificial Intelligence and acts in a dynamic environment with other actors
- › We aim at Human-Robot collaboration
  - › i.e., responsible and effective hybrid teaming
  - › in which both the robot and the human mutually adapt and learn over time
- › Realizing that perception, cognition and behavior of humans and robots are fundamentally different

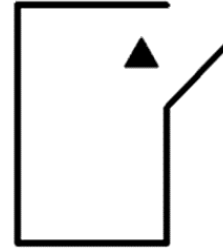
How do they relate to each other over time?



- › Anecdotes of Explosive Ordnance Disposal (EOD) operators in Iraq and Afghanistan:
  - › robots were assigned names and gendered identities
  - › when a robot was damaged, its loss was grieved, sometimes accompanied by funeral-like rituals
  - › when a robot had to be repaired, its operators requested to fix, instead of replace, its mechanical parts, to preserve the robot's individual identity
  - › in rare occasions, soldiers have endangered themselves to protect the robot from enemy assaults



- › Humans show an instinctive tendency to attribute animacy and intentions even to entities that have little or no resemblance at all to animated or living creatures
- › Humans get attached to technology
- › Three perspectives on human-robot relationships:
  - › Human-Companion: robot as an electronic partner (ePartners)
  - › Human-Human: robot as a human (humanoid/android robot, anthropomorphism)
  - › Human-Animal: robot as an animal (zoomorphism)



Heider & Simmel experiment (1944).

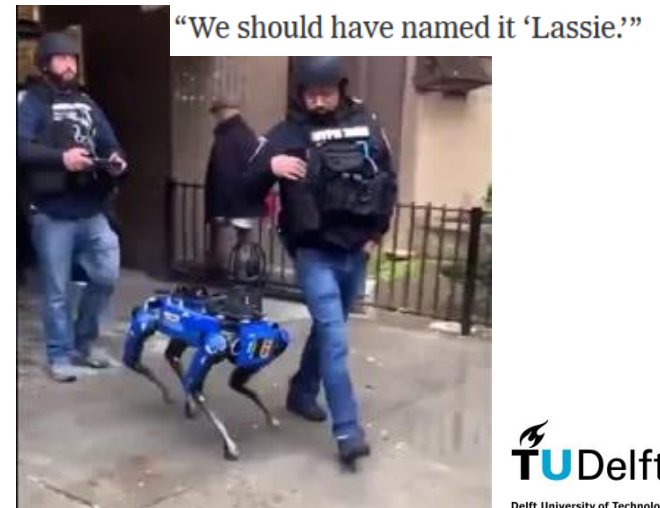




The New York Times

## *N.Y.P.D. Robot Dog's Run Is Cut Short After Fierce Backlash*

The Police Department will return the device earlier than planned after critics seized on it as a dystopian example of overly aggressive policing.



## Example shows:

- › The animals or robots interact with humans in the team **and** with humans in their environment
- › Transparency about animals or robots “role and goals” is crucial

## Integration in team:

- › History of incorporating animals into our work provides insights in how humans might deal with robots to augment team performance.
- › Animals, with different perception, cognition and motor capabilities, have become powerful team members that enable us to work differently.

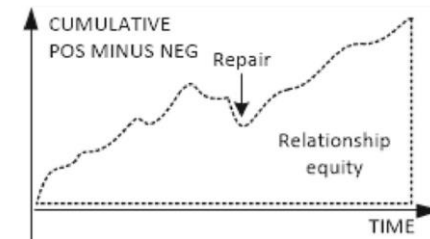
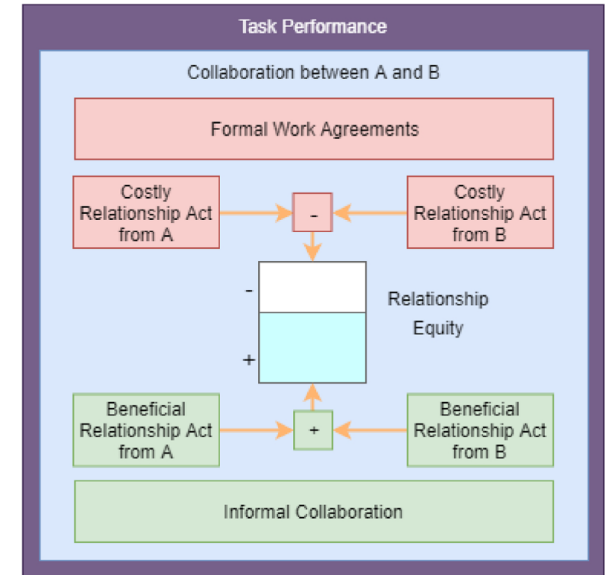
## Initial trustworthiness:

- › A person draws conclusions about the attributes, personality, capabilities, and level of intelligence of an animal, regardless of whether or not they are true characteristics, behaviors, or capabilities.

## Trust development:

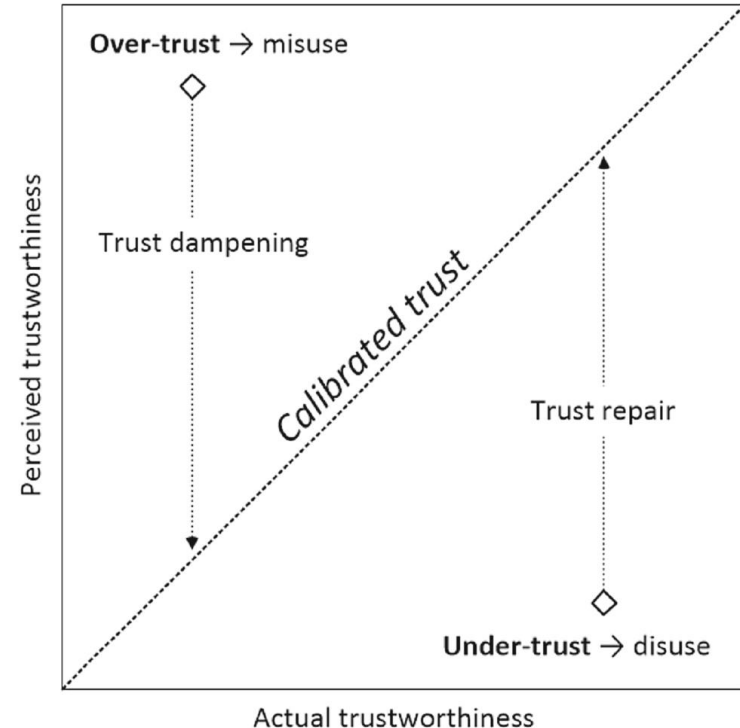
- › Influenced by animal’s capabilities, situated predictability and predispositions of the person.
- › Mutual trust is based on communication and respect, often a result of training.
- › A successful partnership develops when humans interact with their animals regularly, enabling them to predict how that animal reacts to most situations
- › The riskier the situation is, the more important human-animal trust becomes.

- › Mutual trust is a fundamental property and predictor of high performing teams.
- › Trust is a relational concept, i.e.,
  - › “The willingness of a party to be vulnerable to the actions of another party based on the expectation that the other will perform a particular action important to the trustor, irrespective of the ability to monitor or control that other party”.
- › Interpersonal relationship development is based on social exchange.
  - › sharing and trading resources is a fundamental aspect of relationships, including intangible resources.
- › Trust develops as a function of experience, i.e.,
  - › trust depends on persistent, competent behavior of a party that pursues a desired goal



## Calibration mechanisms:

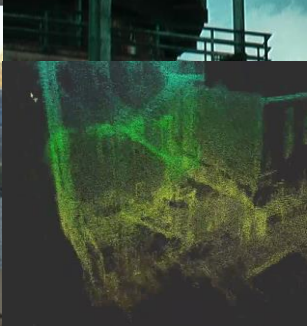
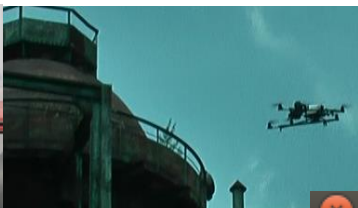
- › Transparency discloses information about processes and states, improving interpretability
  - › Interpretable confidence measure
- › Explanation clarifies the relations between information entities, improving understandability
  - › Contrastive explanation
- › Sharing experiences supports learning and personalization
  - › Cognitive-affective memory
- › Work agreements support predictability
  - › Commitment model



Trustworthiness is the extent to which an actor has the ability to execute relevant tasks, demonstrates integrity, and is benevolent towards fellow team members



# WORK AGREEMENT EXAMPLE



**Working Agreements Settings**

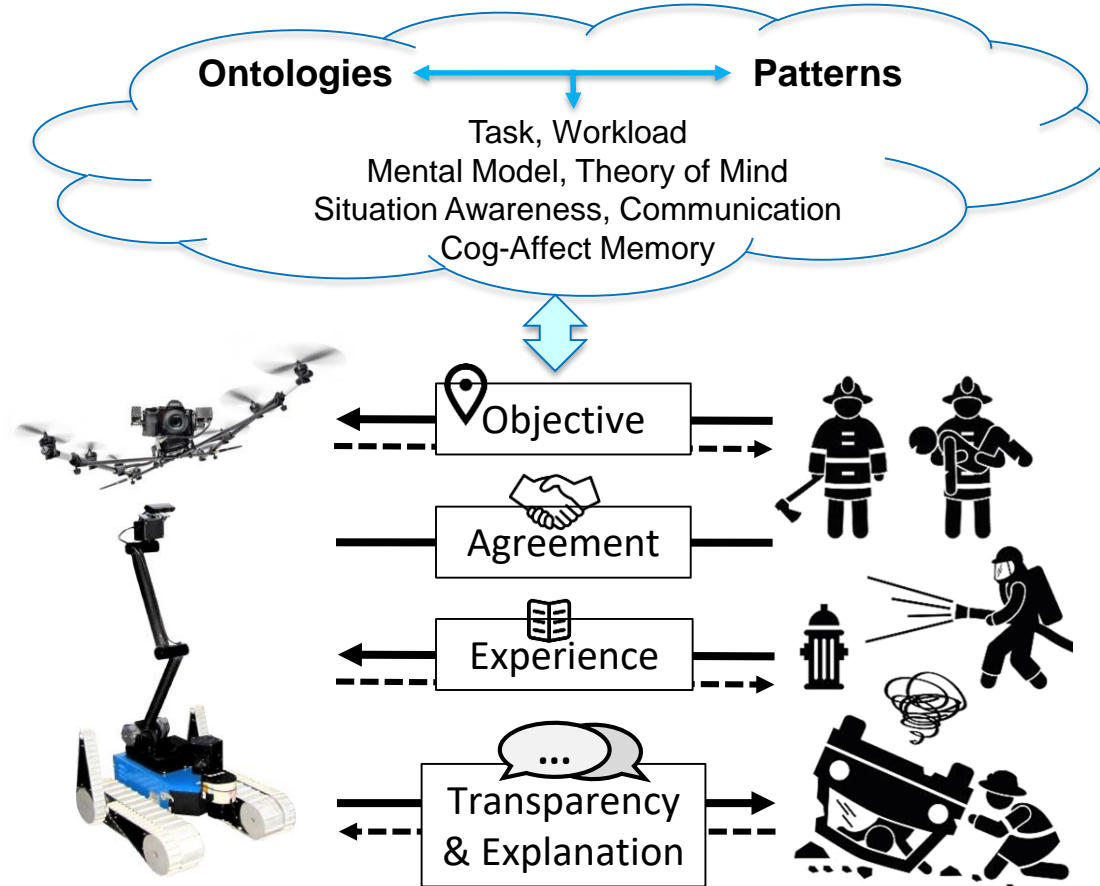
**Working Agreements Settings**

- If my task load is **High**, don't send me non-urgent notifications
- If a POI is urgent, the robot should notify me and put the current task on hold

**Cancel** **OK**



Mark Neerincx



## Example Projects

- **Space** (MECA)
- **Disaster Management** (NIFTi, TRADR & ASSISTANCE)
- **Railway**
- **Naval C4ISR**

- › Humans and robots are distinct, forming a new type of team (called hybrid teams), warranting new theorizing and modeling (particularly given the variation in robot roles, skills and embodiments).
- › Robot integration in teams will not only bring about new human–robot relationships but will also change human-human relationships.
- › Human-human and human-animal relationship development can inspire the design of human-robot relationships and trust calibration methods.
- › Four trust calibration mechanisms advance hybrid teaming:
  - › Transparency
  - › Explanations
  - › Experience sharing
  - › Work agreements

- Cappuccio, M. L., Galliot, J. C., & Sandoval, E. B. (2021). Saving Private Robot: Risks and Advantages of Anthropomorphism in Agent-Soldier Teams. *International Journal of Social Robotics*, 1-14.
- Carpenter, J. (2013). *The Quiet Professional: An investigation of US military Explosive Ordnance Disposal personnel interactions with everyday field robots* (Doctoral dissertation, University of Washington).
- Darling, K. (2021). *The New Breed: What Our History with Animals Reveals about Our Future with Robots*. Henry Holt and Co., New York.
- De Visser, E. J., Peeters, M. M., Jung, M. F., Kohn, S., Shaw, T. H., Pak, R., & Neerincx, M. A. (2020). Towards a theory of longitudinal trust calibration in human–robot teams. *International journal of social robotics*, 12(2), 459-478.
- Fox, J., & Gambino, A. (2021). Relationship Development with Humanoid Social Robots: Applying Interpersonal Theories to Human/Robot Interaction. *Cyberpsychology, Behavior, and Social Networking*. Vol. 24(5). DOI: 10.1089/cyber.2020.0181
- Glikson, E., & Woolley, A. W. (2020). Human trust in artificial intelligence: Review of empirical research. *Academy of Management Annals*, 14(2), 627-660.
- Heider & Simmel (1944). An Experimental Study of Apparent Behavior". *American Journal of Psychology*. 57, 243–259
- Johnson, M., & Vera, A. (2019). No AI is an island: the case for teaming intelligence. *AI Magazine*, 40(1), 16-28.
- Mioch, T., Peeters, M. M., & Neerincx, M. A. (2018). Improving adaptive human-robot cooperation through work agreements. In *2018 27th IEEE International Symposium on Robot and Human Interactive Communication (RO-MAN)* (pp. 1105-1110). IEEE.
- Neerincx, M. A., van der Waa, J., Kaptein, F., & van Diggelen, J. (2018). Using perceptual and cognitive explanations for enhanced human-agent team performance. In *International Conference on Engineering Psychology and Cognitive Ergonomics* (pp. 204-214). Springer, Cham.
- Phillips, E., Schaefer, K. E., Billings, D. R., Jentsch, F., & Hancock, P. A. (2016). Human-animal teams as an analog for future human-robot teams: Influencing design and fostering trust. *Journal of Human-Robot Interaction*, 5(1), 100-125.
- Van der Waa, J., Schoonderwoerd, T., van Diggelen, J., & Neerincx, M. (2020). Interpretable confidence measures for decision support systems. *International Journal of Human-Computer Studies*, 144, 102493.

# HFM-247 HUMAN-AUTONOMY TEAMING

Recommendations for further research and development:

1. Meaningful human control: How to establish and maintain across all AI systems
2. Team design patterns for dynamic evolving behaviors
3. Continuous trust-calibration for proper reliance on automation
4. Scope enlargement to cover all relevant teaming structures and characteristics
5. Explainable AI in human-agent teamwork
6. Evolving hybrid intelligence by co-learning

NORTH ATLANTIC TREATY  
ORGANIZATION



AC/323(HFM-247)TP/922

SCIENCE AND TECHNOLOGY  
ORGANIZATION



www.sto.nato.int

STO TECHNICAL REPORT

TR-HFM-247

## Human-Autonomy Teaming: Supporting Dynamically Adjustable Collaboration

(Équipe humain-autonomie : soutien  
d'une collaboration ajustable)

This Report documents the findings of Task Group HFM-247 (2014 – 2019),  
which explored the rapidly developing area of  
Human-Autonomy Teaming (HAT).

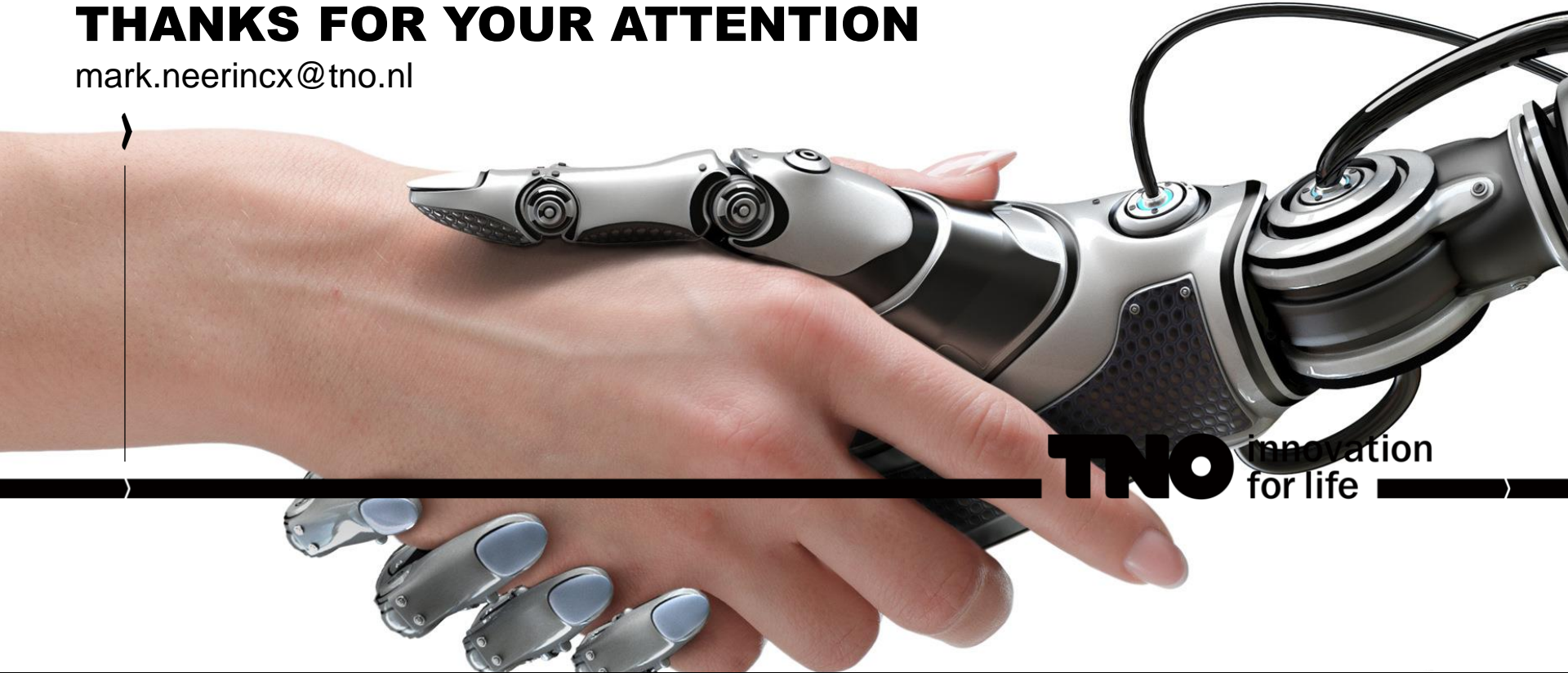


Published March 2020



**THANKS FOR YOUR ATTENTION**

mark.neerincx@tno.nl



**TNO** innovation  
for life